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**REGISTERED PATENT AGENTS

May 26, 1999

WRITER'S DIRECT NUMBER: (202) 789-5509

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Utility Patent Application
Appl. No. 09/263,689; Filed: March 5, 1999
For: **Galectin 9 and 10SV Polypeptides (As Amended)**
Inventors: Ni *et al.*
Our Ref: 1488.0560002/EKS/SGW

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Copy of Notice to File Missing Parts of Application--Filing Date Granted;
2. Information Disclosure Statement (in duplicate);
3. Form PTO-1449 (49 pages);
4. Our Check No. 24506 in the amount of \$890.00 to cover:

\$760.00	Filing Fee for Patent Application (37 C.F.R. § 1.16)
\$130.00	Surcharge for late filing of filing fee (37 C.F.R. § 1.16); and
5. A return postcard.

The undersigned spoke with Diane Jones in the Office of Initial Patent Examination at the U.S. Patent and Trademark Office (PTO) with regard to the Notice to Comply With Requirements

Assistant Commissioner for Patents
May 26, 1999
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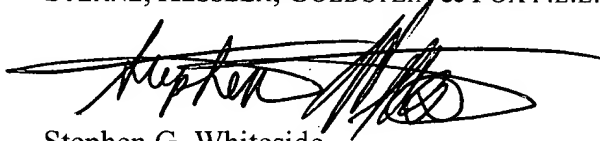
for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (Notice) mailed by the PTO on March 26, 1999 for the above-captioned application. On April 13, 1999, the undersigned was informed by Ms. Jones that the Notice was made in error and that a Communication to this effect would be mailed out shortly. A Communication indicating that the Notice was sent in error and is hereby withdrawn was mailed out by the PTO on April 14, 1999.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read "Stephen G. Whiteside", with a large, sweeping flourish extending to the right.

Stephen G. Whiteside
Attorney for Applicants
Registration No. 42,224

Enclosures

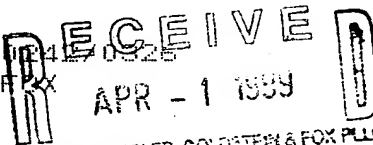


**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/263,689	03/05/99 NI		J 1488.0560002

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WASHINGTON DC 20005-3934



NOT ASSIGNED

STERNE, KESSLER, GOLDSTEIN & FOX PLLC

DATE MAILED: 4/8/99

03/26/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 870

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.21(b), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

D. Martin T-4
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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05/27/1999 MSNIFERA 00000007 09263689
01 FC:101
02 FC:105